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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, D.C. 20231 on



3/14/01
Date of Deposit

Gustavo Siller, Jr. 32,305
Name of Applicant, assignee or
Registered Representative

[Signature]
Signature

Our Case No. 9281/3858

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sadao Nakamura et al.

Serial No. 09/731,193

Filing Date: December 6, 2000

For: Color Filter Substrate for Reflective Liquid
Crystal Display and Reflective Liquid Crystal
Display Using the Same

)
)
)
)
) Attention:
) Customer Service Center
)
) Initial Patent Examination
) Division
)

PETITION IN RESPONSE TO NOTICE OF OMITTED ITEMS

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Applicants have received a Notice of Omitted Items for the above-identified application. The Notice of Omitted Items indicates that Figure 7 was omitted from the application when it was filed on December 6, 2000.

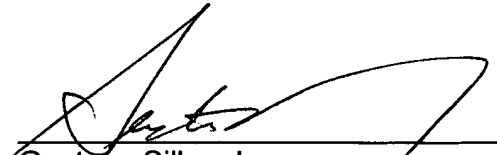
The application that Applicants filed on December 6, 2000 included Figure 7. The copy of the application as filed retained by Applicants in Applicants' attorney's file includes Figure 7. Enclosed with this petition is a copy of the Patent Application Transmittal Letter and copy of all the drawings including Figure 7, as filed by Express Mail on

December 6, 2000. Also enclosed is a copy of the stamped-received return postcard acknowledging receipt of all 6 sheets of drawings.

Therefore, Applicants respectfully petition the Commissioner to withdraw the Notice of Omitted Items.

The Commissioner is hereby authorized to charge payment of any fees associated with this Petition to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



Gustavo Siller, Jr.
Registration No. 32,305
Attorney for Applicant

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200



Case No.

JC490 U.S. PTO
09/731193



12/06/00

Case No. 9281-3858

Applicant: Sadao Nakamura et al.

Commissioner for Patents

Washington, D.C. 20231

Direct
Washi

Please

Please acknowledge receipt of the below-identified:

Checks for \$710.00 and \$40.00; Transmittal Letter (in duplicate);
Preliminary Amendment; Application (27 pages including title page); 6 sheets
drawings; Declaration and Power of Attorney for Patent Application;
Assignment Recordal; Assignment; Submission of Certified Copy of Priority
Document; certified copy of Japanese Application No. 11-355060;
Information Disclosure Statement; PTO-1449; reference A1

BRINKS HOFER GILSON & LIONE

Gustavo Siller, Jr.

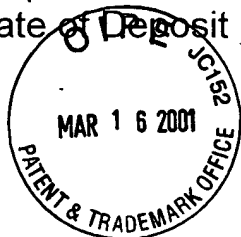
Dated: 12/6/00

BRINKS HOFER GILSON & LIONE
A PROFESSIONAL CORPORATION

By

"Express Mail" mailing label number EL607121424US.

Date of Deposit 12/6/00



Our File No. 9281-3858
Client Reference No. J US99087

PATENT APPLICATION TRANSMITTAL LETTER

To the Commissioner for Patents:

Transmitted herewith for filing is the patent application of Sadao Nakamura, Masakazu Kobayashi, Takehiko Sone, Manabu Kusano, and Yumiko Ishitaka for Color Filter Substrate for Reflective Liquid Crystal Display and Reflective Liquid Crystal Display Using the Same.

Enclosed are:

- ☒ Preliminary Amendment (with corrected Figs. 5 and 6 marked in red ink)
- ☒ 27 pages of application (including title page), 6 sheets of drawings and the following Appendices: n/a
- ☒ Declaration and Power of Attorney for Patent Application
- ☒ Assignment transmittal letter and Assignment of the invention to: Alps Electric Co., Ltd.
- ☒ Submission of Certified Copy of Priority Document and certified copy of Japanese Application No. 11-355060
- ☒ Information Disclosure Statement; PTO-1449 reference A1

| Claims as Filed | Col. 1 | Col. 2 |
|-----------------------------------|-----------|-----------|
| For | No. Filed | No. Extra |
| Basic Fee | | |
| Total Claims | 10-20 | 0 |
| Indep. Claims | 1-3 | 0 |
| Multiple Dependent Claims Present | | |

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

| Small Entity | |
|--------------|--------|
| Rate | Fee |
| | \$ 355 |
| x\$9= | \$ |
| x\$40= | \$ |
| +\$135= | \$ |
| Total | \$ |

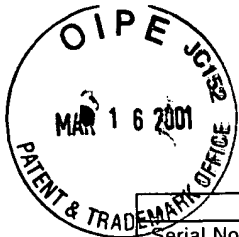
| Other Than Small Entity | |
|-------------------------|--------|
| Rate | Fee |
| | \$ 710 |
| x\$18= | \$ |
| x\$80= | \$ |
| +\$270= | \$ |
| Total | \$710 |

- ☐ Please charge my Deposit Account No. 23-1925 in the amount of \$: _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$:710.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR § 1.16.
 - ☒ Any patent application processing fees under 37 CFR §1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
 - ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
 - ☐ Any patent application processing fees under 37 CFR § 1.17.
 - ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

Date

12/6/00

Gustavo Siller, Jr.
BRINKS HOFER GILSON & LIGNE
Registration No. 32,305



\$ 10300

| TRANSMITTAL LETTER | | | Case No. 9281-3858 |
|---|---------------------------------|--------------------------------------|--|
| Serial No. 09/731,193 | Filing Date December 6, 2000 | Attention Customer Service Center | Initial Patent Examination Division |
| Inventor(s) Sadao Nakamura et al. | | | |
| Title of Invention Color Filter Substrate for Reflective Liquid Crystal Display and Reflective Liquid Crystal Display Using the Same | | | |

TO THE COMMISSIONER FOR PATENTS

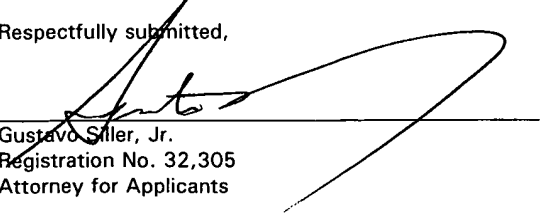
Transmitted herewith is Petition in Response to Notice of Omitted Items.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | | | | Small Entity | | or | Other Than Small Entity | |
|---|----------------------------------|-------|---------------------------------|---------------|-----------------|-----------|-------------------------|-----------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | Rate | Add'l Fee |
| Total | | Minus | | | x \$9 = | | x \$18 = | |
| Indep. | | Minus | | | x 40 = | | x \$80 = | |
| First Presentation of Multiple Dep. Claim | | | | | + \$135 = | | + \$270 = | |
| | | | | | Total add'l fee | \$ | Total add'l fee | \$ |

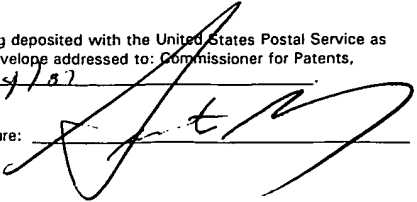
- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


Gustavo Siller, Jr.
Registration No. 32,305
Attorney for Applicants

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 3/14/01

Date: 3/14/01 Signature: 

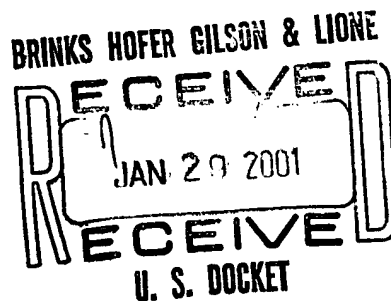


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

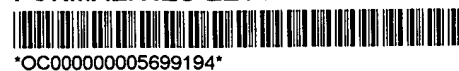
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/731,193 | 12/06/2000 | Sadao Nakamura | 9281-3858 |

Brinks Hofer Gilson & Lione
P.O. Box 10395
Chicago, IL 60610



CONFIRMATION NO. 8730

FORMALITIES LETTER



Date Mailed: 01/23/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 7 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.


II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b)**. In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid

delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE